

Please Join Us

CAB and Promontory Webinar Series: Correspondent Banking and Governance

The Caribbean Association of Banks and Promontory, an IBM Company, invite you to a fourth webinar where expert perspectives on correspondent banking and governance will be presented and discussed. The webinar is scheduled for **Wednesday, 22 May, from 2:30pm AST until 4:00pm AST**, with a time reserved for Q&A.

Promontory, an IBM Company, is a U.S.-based risk management, regulatory, and compliance advisory firm which excels at helping clients resolve critical issues, particularly those with a regulatory dimension. As a result, Promontory professionals have unparalleled regulatory experience throughout North America, Europe, Asia, Australia, and parts of Latin America and the Caribbean. Promontory has assisted institutions and regulators locally in the Caribbean and Latin America and throughout the world in navigating the challenges of de-risking.

A senior team of Promontory experts in correspondent banking and governance will share their perspectives on the expectations of correspondent banks and recent trends; international regulatory expectations around anti-money laundering, counter-terrorist financing, and sanctions; and best practices of leading financial institutions in governance. In addition, a U.S. correspondent bank will directly share their expectations for best practices in securing or maintaining correspondent banking relationships.

The intended audience of this webinar are senior professionals in the areas of compliance, anti-money laundering, audit, as well as executives or treasury professionals that interact with correspondent banks or seek new accounts as part of a business strategy. Attendees can expect to learn strategies for obtaining or maintaining correspondent relationships, practices for communicating with U.S. correspondents and regulators, and the expectations that U.S. correspondent banks have for the anti-money-laundering and counter-financing-of-terrorism programs of Caribbean institutions. Promontory experts will also share perspectives on recent trends and the current correspondent banking environment. In addition, attendees will learn of international best practices in the areas of corporate and board governance.

To confirm your attendance, please register via Constant Contact. If you have any questions, you may contact Nayah Joseph (nayah.joseph@cab-inc.com or +1 758 452-2877) or Chad Carson (at ccarson@promontory.com or +1 202 590 0719). We hope you will be able to join us.



CARIBBEAN ASSOCIATION OF BANKS



Participant Biographies



Dustin Palmer

Managing Director | Head of Financial Crimes Solutions Center

Dustin is the head of Promontory's Financial Crimes Solutions Center and an expert in correspondent banking. Before joining Promontory, Dustin was Senior Advisor to the General Counsel and Senior Counsel for Enforcement and Intelligence at the U.S. Department of the Treasury. At Treasury, he advised senior officials on issues related to AML/CFT policy, economic sanctions, and anti-bribery and corruption. Prior to Treasury, he worked as an attorney for the U.S. Department of Homeland Security in the Office of Legal Counsel, working closely with U.S. Immigration and Customs Enforcement and U.S. Customs and Border Protection on their AML and smuggling investigations.



Janelle Bernard

Senior Manager, Overseas Operations | Republic Bank Limited

Janelle is the Senior Manager - Overseas Operations for Republic Bank and was previously a Senior Manager in Compliance. Janelle brings Broad legal, governance and compliance risk management experience in the Caribbean, South America (Guyana, Suriname), Sub Saharan Africa (Ghana), mainly in the financial services industry. Key areas of experience include: Compliance, Enterprise Risk, Cross-functional /Senior Team Leadership, Project Management, Corporate/Commercial Law, Banking Law, Contract Negotiations, Mergers and Acquisitions, Project Finance, Corporate Governance, Industrial Relations. Janelle is currently listed among the Financial Action Task Force primary private sector experts in Trinidad and Tobago.

Participant Biographies



Chad Carson

Principal | Deputy Head for Latin America and the Caribbean

Chad is Promontory's Deputy Head for Latin America and the Caribbean and leads Promontory's correspondent banking initiative. Chad has worked extensively with Latin American and Caribbean institutions seeking to maintain or establish U.S. dollar-clearing relationships, which has included conducting AML and sanctions program reviews, performing risk assessments, and preparing institutions for meetings with prospective U.S. correspondent partners. Chad has worked with financial institutions in Mexico, Central and South America, the Caribbean, Europe, the U.S., and Canada. Prior to joining Promontory, he served as an intern on Credit Suisse's Latin America debt-capital-markets desk and at the U.S. State Department, where he worked on Cuba-related Office of Foreign Assets Control licensing issues. Chad has a B.S.F.S in International Economics from Georgetown University.



Steve Mills

Managing Director | Governance and Audit

Steve specializes in advising clients on internal-audit and internal control frameworks, risk management, and broad matters of regulatory compliance and corporate governance. Steve has also assisted non-U.S. respondent banks in preparing for meetings with prospective U.S. correspondent banks. Prior to joining Promontory in 2009, Steve spent nearly 20 years in global positions with American Express, where he was a senior member of the global internal-audit team. He was general auditor of the company's major U.S. and international bank subsidiaries and was responsible for global internal-audit regulatory relationships. Steve has extensive global experience, having lived and worked in the Caribbean, Asia, Europe, and the U.S. Steve is a chartered accountant and certified internal auditor.



CARIBBEAN ASSOCIATION OF BANKS



PROMONTORY
an IBM Company